

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: SZNAIDMAN, Marcos L. Art Unit: 1628

Re: Application of: KIM, Myung-Hwa, et al.

Serial No.: 10/562,615

Filed: July 27, 2006

For: **TRICYCLIC DERIVATIVES OR
PHARMACEUTICALLY SALTS THEREOF, THEIR
PREPARATIONS AND PHARMACEUTICAL
COMPOSITIONS CONTAINING THEM**

Confirmation No.: 6138

AMENDMENT / RESPONSE

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

September 12, 2011

Sir:

In response to the Office Action dated May 10, 2011, the following amendments and remarks are provided. A Request for Continued Examination (RCE) is also filed.

Claims are reflected in the listing of claims which begins on page **2** of this paper.

Remarks/Arguments begin on page **9** of this paper.

CERTIFICATE

I hereby certify that this correspondence is being EFS-Web or facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 12, 2011.
LUCAS & MERCANTI, LLP

BY: /Yun H. Choe/
Yun H. Choe